



JC10 Rec'd PCT/PTO 22 JUL 2005 PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

CHRISTIAN HERZIG ET AL.

Group Art Unit: Unknown

Serial No.: 10/521,376 ✓

Examiner: Unknown

Filed: January 18, 2005

For: BRANCHED SILOXANE POLYMERS COMPRISING  
ALKENYL GROUPS AND USED AS ANTIMISTING  
ADDITIONS FOR SILICONE COATING COMPOSITIONS

Attorney Docket No.: WAS 0675 PUSA

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97(b)**

Mail Stop Amendment  
Commissioner for Patents  
U.S. Patent & Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(b).

While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8**

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:

July 19, 2005

Date of Deposit

William G. Conger  
Name of Person Signing

Signature

Accompanying the Information Disclosure Statement is the statement of Dr. Maria Deffner-Lehner describing the content of the references cited in the Information Disclosure Statement.

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

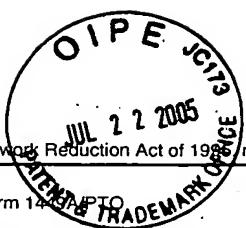
**CHRISTIAN HERZIG ET AL.**

By: 

William G. Conger  
Reg. No. 31,209  
Attorney/Agent for Applicant

Date: July 19, 2005

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 <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(use as many sheets as necessary)</i></p>		<i>Complete if Known</i>	
		Application Number	10/521,376
		Filing Date	January 17, 2005
		First Named Inventor	Christian Herzig et al.
		Group Art Unit	Unknown
		Examiner Name	Unknown
Sheet	1	of	2
		Attorney Docket Number	
		WAS 0675 PUSA	

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.



Substitute for Form 1449B/P TO & P

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and Trademark Office: U.S. DEPARTMENT OF COMMERCE

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(use as many sheets as necessary)*

Sheet

2

of

2

**Attorney Docket Number**

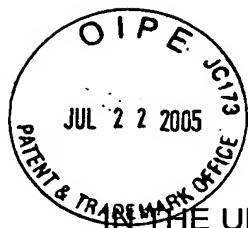
WAS 0675 PUSA

## OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

<b>Examiner Signature</b>		<b>Date Considered</b>	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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In re application of :  
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For: BRANCHED SILOXANE POLYMERS COMPRISING ALKENYL GROUPS AND  
USED AS ANTIMISTING ADDITIVES FOR SILICONE COATING COMPOSITIONS

Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir or Madam:

I, Maria Deffner-Lehner, associated with the preparation and prosecution of the above-identified application, residing at Meisenweg 12, 85591 Vaterstetten, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the enclosed application, an Office Action of the German Patent and Trademark Office and the International Search Report and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

WO 01/98418 A2, cited in the German Office Action, is enclosed.

WO 01/98420 A, cited in the International Search Report, is enclosed.

US 6,034,225 A, cited in the International Search Report, is enclosed.

EP 0 716 115 A2, cited in the application, is already in English language, is enclosed.

US 6,265,497 B1, cited in the application, is enclosed.

US 5,760,145 A, cited in the application, is enclosed.

US 5,691,435 A, cited in the application, is enclosed.

For DE 196 29 053 A1, cited in the application, an English Derwent Abstract is enclosed.

For DE 195 22 144 A1, cited in the application, an English Derwent Abstract is enclosed.

US 524,034, cited in the application, is enclosed.

US 6,093,782, cited in the application, is enclosed.

US 6,034,225, cited in the application, is enclosed.

US 5, 698,655, cited in the application, is enclosed.

US 5,625,023, cited in the application, is enclosed.

US 5,994,454, cited in the application, is enclosed.

US 6,274,692 B1, cited in the application, is enclosed.

US 6,258,913 B1, cited in the application, is enclosed.

US 5,866,707, cited in the application, is enclosed.

Signed this 11<sup>th</sup> day of January, 2005.



Dr. Maria Deffner-Lehner